

**IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF NEW JERSEY**

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**SECURITIES AND EXCHANGE  
COMMISSION,**

**Plaintiff,**

**v.**

**BRENDA SMITH, BROAD REACH  
CAPITAL, LP, BROAD REACH  
PARTNERS, LLC, and BRISTOL  
ADVISORS, LLC,**

**Defendants.**

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**C. A. No. 2:19-cv-17213 (MCA)**

**ORDER APPROVING THE RECEIVER, KEVIN DOOLEY KENT’S  
FIFTH INTERIM FEE APPLICATION FOR THE PERIOD  
JULY 1, 2021 THROUGH SEPTEMBER 30, 2021**

**THIS MATTER** having come before this Court upon the Motion of Receiver, Kevin Dooley Kent for Approval of Fifth Interim Fee Application for the Period July 1, 2021 through September 30, 2021;

It is on this \_\_\_\_\_ day of \_\_\_\_\_, 2021,

**ORDERED** that the Receiver’s Fifth Interim Fee Application is APPROVED;  
and it is

**FURTHER ORDERED** that Payment to Conrad O’Brien PC (“Law Firm”) in the amount of \$136,325.20, for services performed from July 1, 2021 through

September 30, 2021, representing eighty percent (80%) of the Law Firm's total fee of \$170,406.50 for this quarter, is APPROVED and may be paid by the Receiver at this time; and it is

**FURTHER ORDERED** that payment to Alvarez & Marsal Disputes and Investigations, LLC ("Accountant") in the amount of \$147,780.00 for services performed from July 1, 2021 through September 30, 2021, representing eighty percent (80%) of the Accountant's total fee of \$184,725.00 for this quarter, is APPROVED and may be paid by the Receiver at this time; and it is

**FURTHER ORDERED** that payment to Conrad O'Brien PC in the amount of \$441.10 for expenses incurred from July 1, 2021 through September 30, 2021, representing eighty percent (80%) of the Firm's total expenses of \$551.38 for this quarter, is APPROVED and may be paid by the Receiver at this time; and it is

**FURTHER ORDERED** that payment to Alvarez & Marsal Disputes and Investigations, LLC in the amount of \$4,101.52 for expenses incurred from July 1, 2021 through September 30, 2021, representing eighty percent (80%) of the Accountant's total expenses of \$5,126.90 for this quarter, is hereby APPROVED and may be paid by the Receiver at this time.

**BY THE COURT:**

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HONORABLE MADELINE COX ARLEO  
UNITED STATES DISTRICT JUDGE